

# ARX-based Cryptography

Nicky Mouha

ESAT/COSIC, K.U.Leuven, Belgium  
IBBT, Belgium

ECRYPT II Summer School, Albena  
Friday, June 3, 2011

# Outline

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- 1 Introduction
- 2 Addition and XOR
- 3 Multiplication, Counting
- 4 ARX
- 5 Conclusion

# ARX

- Addition (mod  $2^n$ ):  $+$ ,  $\boxplus$
- Rotation:  $\lll r$
- XOR:  $\oplus$
  
- Term 'AXR': Ralf-Philipp Weinmann (Dagstuhl 2009)
  - Later: renamed to ARX
- Concept of ARX is much older
  - E.g. FEAL (Eurocrypt 1987)

## Advantages of ARX

- Fast performance on PCs
- Compact implementation
- Easy algorithm
- No timing attacks
- Functionally complete (assuming constant included)

## Disadvantages of ARX

- Not best trade-off in hardware
- Security against linear and differential cryptanalysis?
- Security margin?
- Side-channel attacks?

# ARX Designs

- Block ciphers
  - FEAL, Threefish
- Stream ciphers
  - Salsa20, ChaCha, HC-128
- Hash functions:
  - SHA-3 Finalists: BLAKE, Skein
  - SHA-3 Second Round: Blue Midnight Wish, Cubehash
  - SHA-3 First Round: EDON- $\mathcal{R}$

## Designs Similar to ARX

- Including left shift, right shift:
  - Block ciphers: TEA, XTEA, XXTEA
  - SHA-3 candidate: EnRUPT
- Including bitwise Boolean functions:
  - Hash functions: MD4, MD5, SHA-1
  - SHA-3 candidates: SIMD, Shabal

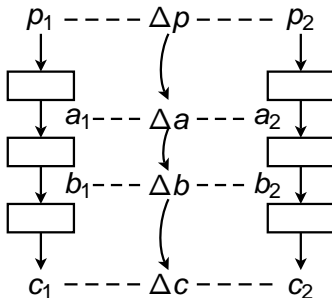
# This presentation

- Introduce S-function concept
  - Can handle left/right shifts, bitwise Boolean functions, multiplication by constants
- Focus on differential cryptanalysis
- Analyze addition, XOR, and ARX components
- Provide observations on larger components



# Differential Cryptanalysis

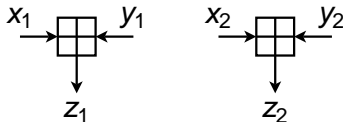
Differential characteristic: describes desired propagation of differences through cryptographic primitive



# S-box vs ARX

- S-box
  - Typical size up to  $8 \times 8$  bit
  - Difference distribution table: up to  $2^{16} = 65536$  elements
  - Easy to calculate: differential probability, number of output differences, output difference with highest probability,...
- ARX operations
  - Typically,  $n = 32$  or  $n = 64$
  - Difference distribution table:  $2^{64}$  or  $2^{128}$  elements, too large!
  - Fast algorithms ( $\mathcal{O}(n)$ ) required to calculate properties

## xdp<sup>+</sup>: The XOR Differential Probability of Addition



$\Delta x, \Delta y, \Delta z$  are fixed xor differences such that

$$x_2 = x_1 \oplus \Delta x, \quad y_2 = y_1 \oplus \Delta y, \quad z_2 = z_1 \oplus \Delta z,$$

xdp<sup>+</sup> expresses the fraction of pairs  $(x_1, y_1)$  for which the following holds:

$$((x_1 \oplus \Delta x) + (y_1 \oplus \Delta y)) \oplus (x_1 + y_1) = \Delta z.$$

## xdp<sup>+</sup>: Motivating Example

From “On the Additive Differential Probability of Exclusive-Or”,  
 Lipmaa, Wallén, Dumas, FSE 2004:

$$\begin{aligned} \text{xdp}^+(1\mathbf{1}100, 0\mathbf{0}110 \rightarrow 1\mathbf{0}110) \\ = LA_{101}A_{100}A_{111}A_{011}A_{000}C = \frac{1}{4} \end{aligned}$$

where

$$A_{000} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad A_{001} = A_{010} = A_{100} = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 0 & 1 \end{bmatrix},$$

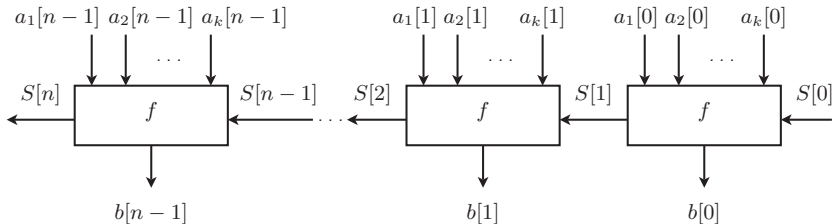
$$A_{011} = A_{101} = A_{110} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix}, \quad A_{111} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix},$$

$$L = [1 \quad 1], \quad C = [1 \quad 0]^T.$$

## S-function

An S-function accepts  $n$ -bit words  $a_1, a_2, \dots, a_k$  and an  $n$ -digit input state  $S$ , and produces an  $n$ -bit output word  $b$ :

$$(b[i], S[i + 1]) = f(a_1[i], a_2[i], \dots, a_k[i], S[i]), \quad 0 \leq i < n .$$



# xdp<sup>+</sup>: From Words to Bits: Constructing $f$

$$\left\{ \begin{array}{l} x_2 \leftarrow x_1 \oplus \Delta x \\ y_2 \leftarrow y_1 \oplus \Delta y \\ z_1 \leftarrow x_1 + y_1 \\ z_2 \leftarrow x_2 + y_2 \\ \Delta z \leftarrow z_2 \oplus z_1 \end{array} \right. \Rightarrow \left\{ \begin{array}{l} x_2[i] \leftarrow x_1[i] \oplus \Delta x[i] \\ y_2[i] \leftarrow y_1[i] \oplus \Delta y[i] \\ z_1[i] \leftarrow x_1[i] \oplus y_1[i] \oplus c_1[i] \\ c_1[i+1] \leftarrow (x_1[i] + y_1[i] + c_1[i]) \ggg 1 \\ z_2[i] \leftarrow x_2[i] \oplus y_2[i] \oplus c_2[i] \\ c_2[i+1] \leftarrow (x_2[i] + y_2[i] + c_2[i]) \ggg 1 \\ \Delta z[i] \leftarrow z_2[i] \oplus z_1[i] \end{array} \right.$$

## xdp<sup>+</sup>: From Words to Bits: S-function

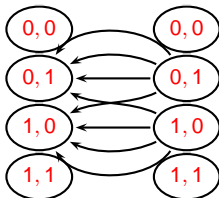
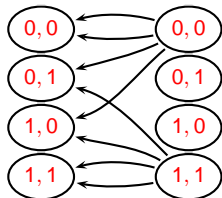
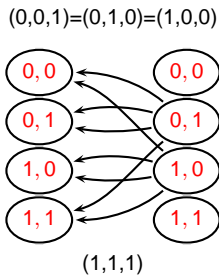
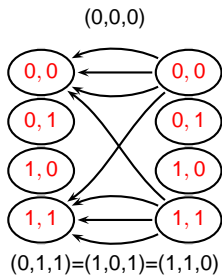
The S-function for xdp<sup>+</sup> is:

$$\begin{aligned}(\Delta z[i], \mathbf{S}[i+1]) &= f(x_1[i], y_1[i], \Delta x[i], \Delta y[i], \mathbf{S}[i]), & 0 \leq i < n, \\ \mathbf{S}[i] &\leftarrow (c_1[i], c_2[i]), \\ \mathbf{S}[i+1] &\leftarrow (c_1[i+1], c_2[i+1]).\end{aligned}$$



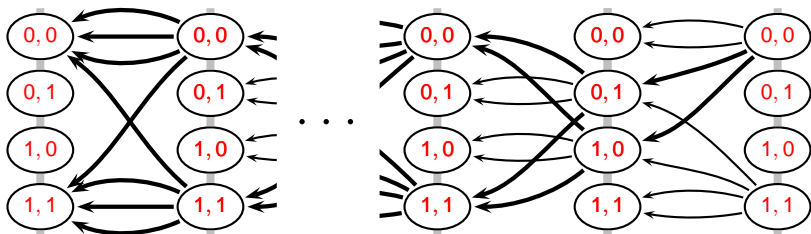


# $\text{xdp}^+$ : All Subgraphs



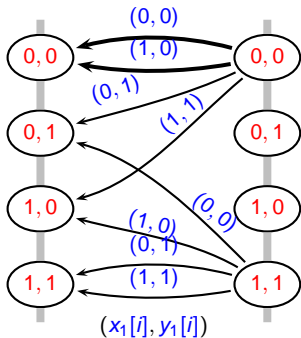
## $\text{xdp}^+$ : From Graphs to Probability

Computing probability  $\text{xdp}^+$  is equivalent to counting number of paths that satisfy  $\Delta x, \Delta y, \Delta z$ . Each valid pair  $(x_1, y_1)$  corresponds to path in graph (shown in bold).



# $\text{xdp}^+$ : From Subgraph to Matrix

$$(\Delta x[i], \Delta y[i], \Delta z[i]) = (1, 0, 1)$$



$$S[i+1] \begin{matrix} (0,0) \\ (0,1) \\ (1,0) \\ (1,1) \end{matrix}$$

$$S[i] \begin{matrix} (0,0), (0,1), (1,0), (1,1) \end{matrix}$$

$$\frac{1}{4} \begin{bmatrix} 2 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 2 \end{bmatrix} = A_{101}$$

## $\text{xdp}^+$ : All Matrices

There are four distinct matrices for  $\text{xdp}^+$ :

$A_{000}, A_{001} = A_{010} = A_{100}, A_{011} = A_{101} = A_{110}, A_{111}$ .

$$A_{000} = \frac{1}{4} \begin{bmatrix} 3 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 3 \end{bmatrix}, \quad A_{001} = \frac{1}{4} \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix},$$

$$A_{011} = \frac{1}{4} \begin{bmatrix} 2 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 2 \end{bmatrix}, \quad A_{111} = \frac{1}{4} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ 0 & 3 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

## $\text{xdp}^+$ : From Matrices to Probability

Computing the probability  $\text{xdp}^+$  can be done using matrix multiplications

$$\text{xdp}^+(\Delta x, \Delta y \rightarrow \Delta z) = L A_{w[n-1]} \cdots A_{w[1]} A_{w[0]} C .$$

where

$$w[i] = \Delta x[i] \parallel \Delta y[i] \parallel \Delta z[i], \quad 0 \leq i < n,$$

$$L = [ 1 \quad 1 \quad \cdots \quad 1 ],$$

$$C = [ 1 \quad 0 \quad \cdots \quad 0 ]^T .$$

## $\text{xdp}^+$ : Minimized Matrices

Reduce size of matrices by combining equivalent states  
(FSM reduction algorithm):

$$A'_{000} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad A'_{001} = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 0 & 1 \end{bmatrix},$$
$$A'_{011} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix}, \quad A'_{111} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}.$$

# Linearization

- How to find good differential characteristics for ARX?
- Very powerful technique: linearization!
- In case of ARX: replace addition by XOR, then find low-weight codewords
- Easy to prove:  $\text{xdp}^+(\alpha, \beta \rightarrow \alpha \oplus \beta) > 0$

# EDON- $\mathcal{R}$

- Hash function by Gligoroski et al., submission to SHA-3
- Here: analysis together with Bjørstad, unpublished

$$\begin{array}{lcl}
 T_0 & \leftarrow & (0x55555555 + Y_0 + Y_1 + Y_2 + Y_5 + Y_7) \ggg 0 \\
 T_1 & \leftarrow & (Y_0 + Y_1 + Y_3 + Y_4 + Y_6) \ggg 5 \\
 T_2 & \leftarrow & (Y_0 + Y_1 + Y_2 + Y_3 + Y_5) \ggg 9 \\
 T_3 & \leftarrow & (Y_2 + Y_3 + Y_4 + Y_6 + Y_7) \ggg 11 \\
 T_4 & \leftarrow & (Y_0 + Y_1 + Y_3 + Y_4 + Y_5) \ggg 15 \\
 T_5 & \leftarrow & (Y_2 + Y_4 + Y_5 + Y_6 + Y_7) \ggg 20 \\
 T_6 & \leftarrow & (Y_1 + Y_2 + Y_5 + Y_6 + Y_7) \ggg 25 \\
 T_7 & \leftarrow & (Y_0 + Y_3 + Y_4 + Y_6 + Y_7) \ggg 27
 \end{array}$$



# EDON- $\mathcal{R}$

- Introduce XOR difference in bit  $i$  ( $i$  is not MSB)

$$\begin{array}{rcl}
 T_0 & \leftarrow & (0x55555555 + Y_0 + Y_1 + Y_2 + Y_5 + Y_7) \ggg 0 \\
 T_1 & \leftarrow & (Y_0 + Y_1 + Y_3 + Y_4 + Y_6) \ggg 5 \\
 T_2 & \leftarrow & (Y_0 + Y_1 + Y_2 + Y_3 + Y_5) \ggg 9 \\
 T_3 & \leftarrow & (Y_2 + Y_3 + Y_4 + Y_6 + Y_7) \ggg 11 \\
 T_4 & \leftarrow & (Y_0 + Y_1 + Y_3 + Y_4 + Y_5) \ggg 15 \\
 T_5 & \leftarrow & (Y_2 + Y_4 + Y_5 + Y_6 + Y_7) \ggg 20 \\
 T_6 & \leftarrow & (Y_1 + Y_2 + Y_5 + Y_6 + Y_7) \ggg 25 \\
 T_7 & \leftarrow & (Y_0 + Y_3 + Y_4 + Y_6 + Y_7) \ggg 27
 \end{array}$$

## EDON- $\mathcal{R}$

For a pair  $(a_1, a_2)$ :

$$\Delta^{\pm} u[k] : \begin{cases} a_1[i] = 1, a_2[i] = 0, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

$$\Delta^{\pm} n[k] : \begin{cases} a_1[i] = 0, a_2[i] = 1, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

EDON- $\mathcal{R}$  example:

$$\begin{aligned} T_0 &= (Y_1 + Y_7 + \dots) \ggg 0 \\ T_1 &= (Y_1 + Y_4 + \dots) \ggg 5 \\ T_3 &= (Y_4 + Y_7 + \dots) \ggg 11 \end{aligned}$$

## EDON- $\mathcal{R}$

For a pair  $(a_1, a_2)$ :

$$\Delta^\pm u[k] : \begin{cases} a_1[i] = 1, a_2[i] = 0, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

$$\Delta^\pm n[k] : \begin{cases} a_1[i] = 0, a_2[i] = 1, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

EDON- $\mathcal{R}$  example:

$$\begin{aligned} 0 &= (u[k] + Y_7 + \dots) \ggg 0 \\ 0 &= (u[k] + Y_4 + \dots) \ggg 5 \\ 0 &= (Y_4 + Y_7 + \dots) \ggg 11 \end{aligned}$$

## EDON- $\mathcal{R}$

For a pair  $(a_1, a_2)$ :

$$\Delta^\pm u[k] : \begin{cases} a_1[i] = 1, a_2[i] = 0, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

$$\Delta^\pm n[k] : \begin{cases} a_1[i] = 0, a_2[i] = 1, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

EDON- $\mathcal{R}$  example:

$$\begin{aligned} 0 &= (u[k] + n[k] + \dots) \ggg 0 \\ 0 &= (u[k] + Y_4 + \dots) \ggg 5 \\ 0 &= (Y_4 + n[k] + \dots) \ggg 11 \end{aligned}$$

## EDON- $\mathcal{R}$

For a pair  $(a_1, a_2)$ :

$$\Delta^\pm u[k] : \begin{cases} a_1[i] = 1, a_2[i] = 0, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

$$\Delta^\pm n[k] : \begin{cases} a_1[i] = 0, a_2[i] = 1, & \text{if } i = k, \\ a_1[i] = a_2[i], & \text{for } 0 \leq i < n, i \neq k. \end{cases}$$

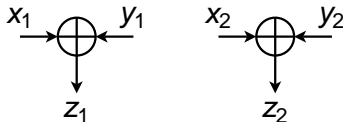
EDON- $\mathcal{R}$  example:

$$\begin{aligned} 0 &= (u[k] + n[k] + \dots) \ggg 0 \\ 0 &= (u[k] + n[k] + \dots) \ggg 5 \\ 0 &\neq (n[k] + n[k] + \dots) \ggg 11 \end{aligned}$$

# Linearization

- “Finding SHA-1 Characteristics: General Results and Applications”, De Cannière, Christian Rechberger, ASIACRPT 2006
  - 64-step characteristic for SHA-1, no solution

## $\text{adp}^\oplus$ : The Additive Differential Probability of XOR



$\Delta x, \Delta y, \Delta z$  are fixed additive differences such that

$$x_2 = x_1 + \Delta x, \quad y_2 = y_1 + \Delta y, \quad z_2 = z_1 + \Delta z,$$

$\text{adp}^\oplus$  expresses the fraction of pairs  $(x_1, y_1)$  for which the following holds:

$$(x_1 + \Delta x) \oplus ((y_1 + \Delta y) - (x_1 \oplus y_1)) = \Delta z.$$

## $\text{adp}^\oplus$ : Matrices and Probability

In a way similar to  $\text{xdp}^+$ , we obtain 8 matrices for  $\text{adp}^\oplus$ .

$$A_{101} = \frac{1}{4} \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 4 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \end{bmatrix} .$$

The probability  $\text{adp}^\oplus$  is computed again as:

$$\text{adp}^\oplus(\Delta x, \Delta y \rightarrow \Delta z) = LA_{w[n-1]} \cdots A_{w[1]} A_{w[0]} C .$$



## $\text{xdp}^{\times 3}$ : Multiplication by 3

- Multiplication by constant:  $\text{xdp}^{\times C}$ 
  - Hash functions Shabal ( $\times 3$ ,  $\times 5$ ), EnRUPT ( $\times 9$ )
- Let  $\alpha = 0\text{x}12492489$  and  $\gamma = 0\text{x}3\text{AEBAEAB}$
- Approximation using  $\text{xdp}^+$ :

$$\text{xdp}^+(\alpha, \alpha \ll 1 \rightarrow \gamma) = 2^{-25}$$

- Correct probability:

$$\text{xdp}^{\times 3}(\alpha \rightarrow \gamma) = 2^{-15}$$

## $\text{xdp}^{\times 3}$ : All Matrices

After minimization algorithm:  $16 \times 16$  matrices reduced to  $4 \times 4$ :

$$A_{00} = \frac{1}{2} \begin{bmatrix} 1 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad A_{01} = \frac{1}{2} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$
$$A_{10} = \frac{1}{2} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}, \quad A_{11} = \frac{1}{2} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 2 & 1 \end{bmatrix}.$$

## $\text{xdc}^+$ : # of Possible XOR Differentials of Addition

- $\text{xdc}^+$  counts number of *possible* output differences, when input differences are given
- Start with minimized matrices for  $\text{xdp}^+$
- Apply subset construction (automata theory)

$$\text{xdc}^+(\Delta x, \Delta y) = LB_{w[n-1]} \cdots B_{w[1]} B_{w[0]} C ,$$

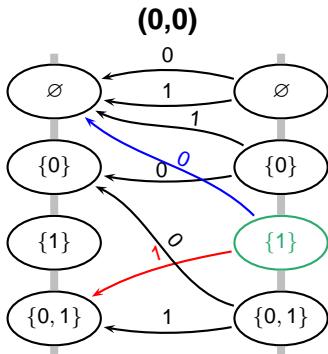
where

$$w[i] = \Delta x[i] \parallel \Delta y[i], \quad 0 \leq i < n ,$$

$$L = [ 1 \quad 1 \quad \cdots \quad 1 ] ,$$

$$C = [ 1 \quad 0 \quad \cdots \quad 0 ]^T .$$

# $x_{dc}^+$ : All Possible XOR Output Differences

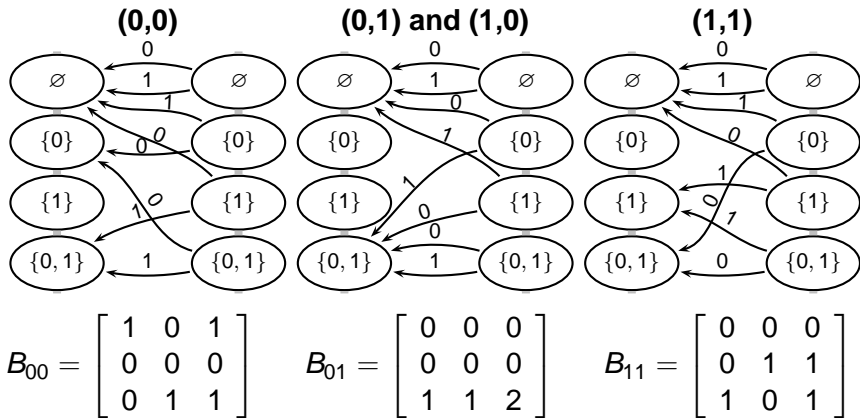


$$B_{00} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}.$$

$$A'_{000} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix},$$

$$A'_{001} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

# xdc<sup>+</sup>: Graphs



# Cryptanalysis of Hash Function Skein

- Aumasson et al. (ASIACRYPT 2009)
  - $\mathcal{O}(2^n)$  time algorithm for  $\text{xdc}^+$
- Mouha et al. (SAC 2010)
  - $\mathcal{O}(n)$  time algorithm for  $\text{xdc}^+$

$$\begin{aligned} & \text{xdc}^+(0\text{x}1000010402000000, 0\text{x}000000000000000000) \\ &= L \cdot B_{00}^3 \cdot B_{10} \cdot B_{00}^{19} \cdot B_{10} \cdot B_{00}^5 \cdot B_{10} \cdot B_{00}^8 \cdot B_{10} \cdot B_{00}^{25} \cdot C \\ &= 5880 \end{aligned}$$

## Toolkit Available

- No need to re-implement!
- Toolkit can perform all calculations in this presentation
- Can also efficiently find maximum probability output differences (paper currently being written)

<http://www.ecrypt.eu.org/tools>

## Ongoing Work

- Analyzing ARX as a single component – sufficient to analyze a cipher?
- Ongoing works shows not...
- Often many characteristics for same differential
- Then: Probability of differential  $\neq$  Probability of characteristic



# Conclusion

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- ARX: Addition, Rotation, XOR
- Fast in software, increasingly used in designs
- But: security analysis seems difficult
- We need:
  - More analysis
  - Toolkits: avoid reinventing the wheel
  - Strategy for secure design